April 5, 2004

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.	12219/47
First Inventor	Toshihide Nozawa
Title	Image-Formation Optical System, and Imaging System
Express Mail Label No.	

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) Mail Stop Patent Application APPLICATION ELEMENTS Commissioner for Patents ADDRESS TO: P.O. Box-1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 7. CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. Applicant claims small entity status. 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) з. 🖾 Specification [Total Pages | a. Computer Readable Form (CRF) (preferred arrangement set forth below) b. Specification Sequence Listing on: - Descriptive title of the Invention i. CD-ROM or CD-R (2 copies); or - Cross Reference to Related Applications ii. 🔲 Paper - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, or a computer program listing appendix **ACCOMPANYING APPLICATIONS PARTS** - Background of the Invention 9. 🛛 - Brief Summary of the Invention Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 10. 37 C.F.R. 3.73(b) Statement ☐ Power of - Detailed Description (when there is an assignee) Attorney - Claim(s) - Abstract of the Disclosure 11. English Translation Document (if applicable) 12. X X Copies of IDS 4. 🔯 Information Disclosure [Total Sheets 21 Drawing(s) (35 U.S.C.113) Statement (IDS)/PTO-1449 Citations 5. Oath or Declaration [Total Sheets 3 13. 🔲 Preliminary Amendment a. Newly executed (original or copy) 14. 🛛 Return Receipt Postcard (MPEP 503) b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. 🔀 Certified Copy of Priority Document(s) i. DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. 🔲 Nonpublication Request under 35 U.S.C. 122 named in the prior application, see 37 CFR (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 17. 🔲 Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: □ Continuation ☐ Continuation-in-part (CIP) ☐ Divisional of prior application No: _ Examiner Art Unit: Prior application information: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 23838 OR 🗆 Correspondence address below Name Address City State Zip Code Country Telephone Registration No. (Attorney/Agent) Name (Print/Type) John C. Altmiller 25,951

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the complete diapplication form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0032

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FEE TO ANOMITTAL					Complete If Known							
FEE TRANSMITTAL						Applic	ation Nu	umber				
for FY 2004						Filing Date			Herewith			
						First Named Inventor			Toshihide Nozawa			
Effective 10/01/2003. Patent fees are subject to annual revision.					Examiner Name			Unas	Unassigned			
Applicant claims small entity status. See 37 CFR 1.27					Art Unit			Unassigned				
TOTAL AMOUNT OF PAYMENT (\$) 942						Attorney Docket No. 12			12219	19/47		
METHOD OF PAYMENT (check all that apply)						FEE CALCULATION (continued)						
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None					3. ADDITIONAL FEES Large Entity Small Entity							
Order Deposit Account:												
Deposit							Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account		11-0600					1051	130	2051	65	Surcharge - late filing fee or oath	
Number							1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit Account KENYON & KENYON					1053	130	1053	130	Non-English specification			
Name						1812 1804	2,520 920*	1812 1804	2,520 920*	For filing a request for reexamination Requesting publication of SIR prior to	\vdash	
The Directo							1004	320	1004	320	Examiner action	
☐ Charge fee(s) indicated below ☐ Credit any overpayments ☐ Charge any additional fee(s) during the pendency of this application ☐ Charge fee(s) indicated below, except for the filing fee						n	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
to the above					e filing fee		1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION							1252	420	2252	210	Extension for reply within second month	
1. BASIC FILING FEE							1253	950	2253	475	Extension for reply within third month	
Large Entity Fee Fe		imall Entity ee Fee	•	e Descriptio	n		1254	1,480	2254	740	Extension for reply within fourth month	
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1002 340	J	002 170		sign filing fee		4 1	1402	290	2402	145	Filing a brief in support of an appeal Request for oral hearing	
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						1452	110	2452	55 665	Petition to revive – unavoidable		
SUBTOTAL (1) (\$) 770						1453 1501	1,330 1,330	2453 2501	665 665	Petition to revive – unintentional Utility issue fee (or reissue)		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE						1502	480	2502	240	Design issue fee		
Extra Fee from Fee Claims below Paid						1503	640	2503	320	Plant issue fee		
Total Claims	20	-20 **	= 0		pelow Paid = 0	٦ :	1460	130	1460	130	Petitions to the Commissioner	
Independent	5	-3 **				5	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q))
Claims Multiple	L	3	= 2		86 = 172	╣	1806	180	1806	180	Submission of Information Disclosure Stmt	
Dependent				×[= 0	┙╽	8021	40	8021	40	Recording each patent assignment per property (times number of	, , .
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	Fee (\$)	Fee Code	Fee (\$)	Fee Descri	ption		1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	ן וי
	18	2202	9	Claims in e			1810	770	2810	385	For each additional invention to be	
	36 290	2201 2203	43 145		nt claims in excess of 3 pendent claim, if not pa						examined (37 CFR § 1.129(b))	
					independent claims over		1801	770	2801		Request for Continued Examination (RCE)	ˈ <u></u>
original patent			1802	900 l	1802	900	Request for expedited examination of a design application					
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent												
						Other fe	ee (spec	ify)				
SUBTOTAL (2) (\$) 172						*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)& 40						
**or number previously paid, if greater, For Reissues, see above												
SUBMITTED BY Complete (if applicable)												
					Posistration No							

(Attorney/Agent)

Name (Print/Type)

Signature

John C. Altmiller

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25,951

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April 5, 2004